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| APPLICATION NO.                      | FILING DATE     | FIRST NAMED INVENTOR         | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|--------------------------------------|-----------------|------------------------------|---------------------|------------------|
| 09/844,959                           | 04/27/2001      | Mikhail Rodionovich Baklanov | IMEC100.001DV1      | 1445             |
| 20995 7                              | 7590 11/06/2002 |                              |                     |                  |
| KNOBBE M.                            | ARTENS OLSON &  | EXAMINER                     |                     |                  |
| 2040 MAIN STREET<br>FOURTEENTH FLOOR |                 |                              | TRINH, HOA B        |                  |
| IRVINE, CA                           | 92614           |                              | ART UNIT            | PAPER NUMBER     |
|                                      |                 |                              | 2814                |                  |

DATE MAILED: 11/06/2002

Please find below and/or attached an Office communication concerning this application or proceeding.

| · ·   |                   |   | Application No.         | Applicant(s)  |  |  |  |
|---|-------------------|---|-------------------------|---|--|--|--|
|   |                   | _   | 09/844,959              | BAKLANOV ET AL.   |  |  |  |
| •   | Offic             | Action Summary  | Examiner                | Art Unit  |  |  |  |
|   | _                 |   | Vikki H Trinh           | 2814  |  |  |  |
| Th MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply   |                   |   |                         |   |  |  |  |
| A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  - If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).  - Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).  Status |                   |   |                         |   |  |  |  |
| 1)⊠   | Responsi          | ve to communication(s) filed on <u>13 /</u>   | August 2002 .           |   |  |  |  |
| 2a)⊠  | This action       | on is <b>FINAL</b> . 2b)□ Th  | is action is non-final. |   |  |  |  |
| 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.  Disposition of Claims   |                   |   |                         |   |  |  |  |
| 4) 🛛 (  | Claim(s)          | <u>1-14</u> is/are pending in the application   |                         |   |  |  |  |
| 4a) Of the above claim(s) 1-10 is/are withdrawn from consideration.   |                   |   |                         |   |  |  |  |
| 5) Claim(s) is/are allowed.   |                   |   |                         |   |  |  |  |
| 6)⊠ (   | Claim(s) <u>1</u> | <u>1-14</u> is/are rejected.  |                         |   |  |  |  |
| 7) 🗌 (  | Claim(s) _        | is/are objected to.   |                         |   |  |  |  |
| 8) 🖾 (  | Claim(s) <u>1</u> | -14 are subject to restriction and/or   | election requirement.   |   |  |  |  |
| Application   | on Papers         |   |                         |   |  |  |  |
| 9)☐ The specification is objected to by the Examiner.   |                   |   |                         |   |  |  |  |
| 10) ☐ The drawing(s) filed on is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  |                   |   |                         |   |  |  |  |
| Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).   |                   |   |                         |   |  |  |  |
| 11) ☐ The proposed drawing correction filed on is: a) ☐ approved b) ☐ disapproved by the Examiner.  |                   |   |                         |   |  |  |  |
| If approved, corrected drawings are required in reply to this Office action.  |                   |   |                         |   |  |  |  |
| 12)☐ The oath or declaration is objected to by the Examiner.  |                   |   |                         |   |  |  |  |
| Priority u  | nder 35 U         | .S.C. §§ 119 and 120  |                         |   |  |  |  |
| 13) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).   |                   |   |                         |   |  |  |  |
| a) ☐ All b) ☐ Some * c) ☐ None of:  |                   |   |                         |   |  |  |  |
| 1. Certified copies of the priority documents have been received.   |                   |   |                         |   |  |  |  |
| 2. Certified copies of the priority documents have been received in Application No  |                   |   |                         |   |  |  |  |
| <ul> <li>Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).</li> <li>* See the attached detailed Office action for a list of the certified copies not received.</li> </ul>  |                   |   |                         |   |  |  |  |
| 14) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).  |                   |   |                         |   |  |  |  |
| a) The translation of the foreign language provisional application has been received.  15) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.   |                   |   |                         |   |  |  |  |
| Attachment(s)   |                   |   |                         |   |  |  |  |
| 2) Notice   | of Draftsper      | es Cited (PTO-892)<br>son's Patent Drawing Review (PTO-948)<br>sure Statement(s) (PTO-1449) Paper No(s) _ | 5) Notice of Informal f | r (PTO-413) Paper No(s)<br>Patent Application (PTO-152) |  |  |  |
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### **DETAILED ACTION**

### Election/Restrictions

- 1. Restriction to one of the following inventions is required under 35 U.S.C. 121:
  - I. Claims 1-10, drawn to a method, classified in class 438, subclass 622.
  - II. Claims 11-14, drawn to a device, classified in class 257, subclass 758+.

The inventions are distinct, each from the other because of the following reasons:

- 2. Inventions I and II are related as process of making and product made. The inventions are distinct if either or both of the following can be shown: (1) that the process as claimed can be used to make other and materially different product or (2) that the product as claimed can be made by another and materially different process (MPEP § 806.05(f)). In the instant case the product can be made by another materially different process such that the steps of forming a dielectric layer and forming a hard mask without providing the step of removing step of the hard mask.
- 3. Because these inventions are distinct for the reasons given above and have acquired a separate status in the art as shown by their different classification, restriction for examination purposes as indicated is proper.
- 4. During a telephone conversation with Rose Thiessen on 09/05/02 a provisional election was made without traverse to prosecute the invention of group II, claims 11-14. Affirmation of this election must be made by applicant in replying to this Office action. Claims 1-10 are withdrawn from further consideration by the examiner, 37 CFR 1.142(b), as being drawn to a non-elected invention.

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5. Applicant is reminded that upon the cancellation of claims to a non-elected invention, the inventorship must be amended in compliance with 37 CFR 1.48(b) if one or more of the currently named inventors is no longer an inventor of at least one claim remaining in the application. Any amendment of inventorship must be accompanied by a request under 37 CFR 1.48(b) and by the fee required under 37 CFR 1.17(i).

# Claim Rejections - 35 USC § 102

1. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless --

- (e) the invention was described in-
- (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effect under this subsection of a national application published under section 122(b) only if the international application designating the United States was published under Article 21(2)(a) of such treaty in the English language; or
- (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that a patent shall not be deemed filed in the United States for the purposes of this subsection based on the filing of an international application filed under the treaty defined in section 351(a).

An anticipation under 35 U.S.C. 102(b) or 102(e) is established when a single prior art reference discloses, either expressly or under principles of inherency, each and every element of a claimed invention. See RCA Corp. v. Applied Digital Data Systems, Inc., 730 F.2d 1440, 221 USPQ 385 (Fed. Cir. 1984).

It is well settled that the law of anticipation does not require that the reference teach what appellant is teaching or has disclosed, but only that the claims on appeal "read on" something disclosed in the reference, i.e., all limitations of the claims are found in the reference. See Kalman v. Kimberly Clark Corp., 713 F.2d 760, 218 USPQ 781 (Fed. Cir. 1083). Moreover, it is not necessary for the applied reference to expressly disclose or describe a particular element or limitation of a rejected claim word for word as in the rejected claim so long as the reference

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inherently discloses that element or limitation. See, for example, Standard Havens Products Inc. v. Gencor Industries Inc., 953 F.2d 1360, 21 USPQ2d 1321 (Fed. Cir. 1991).

2. Claims 11-14 are rejected under 35 U.S.C. 102(e) as being anticipated by Lee et al. (6,319,824)

Lee et al. (6,319,824) discloses, as to claim 11, an IC comprising an interconnect structure having a dielectric layer 115. The dielectric layer 115 includes at least a portion of a hard mask layer 120, wherein the hard mask 120 has a patterned organic polymer film such that a portion of the patterned organic polymer film is fluorinated. See figure 9B and column 4.

As to claim 12, the patterned organic film is a patterned low-K organic polymer film. See figure 9B and column 4.

As to claim 13, the patterned organic polymer film has a surface and an interior, wherein the surface includes a fluorinated portion and the interior comprises a non-fluorinated portion.

See figure 9B and column 4.

As to claim 14, a K value of the fluorinated portion is less than a K value of the non-fluorinated portion. See figure 9B and column 4.

#### Conclusion

Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE

MONTHS from the mailing date of this action. In the event a first reply is filed within TWO

MONTHS of the mailing date of this final action and the advisory action is not mailed until after

the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

6. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Vikki H Trinh whose telephone number is 703-308-8238. The examiner can normally be reached on Mon.-Tues, Thurs.-Fri..

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Wael Fahmy can be reached on 703-308-4917. The fax phone numbers for the organization where this application or proceeding is assigned are 703-308-7722 for regular communications and 703-308-7724 for After Final communications.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is 703-308-0956.

Vikki Trinh

November 4, 2002

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